



ANNUAL NOTICE OF PRIVACY PRACTICES

Taurus Capital Advisors, LLC (“we” or “us”), an investment advisory firm, is committed to safeguarding the confidential information of its clients (“you”). We respect your privacy and are committed to protecting it through our adherence to our privacy practices (“Policy”). We do collect nonpublic personal information in order to open and administer your accounts with us and to provide you with accurate and pertinent advice. We hold all nonpublic personal information you provide to us in the strictest confidence. If we were to change our firm Policy, we are prohibited under the law from doing so without advising you first. Please read this Policy carefully to understand our policies and practices for collecting, using, maintaining, protecting, and disclosing your information.

You may direct us not to make disclosures (other than disclosures required by law) regarding nonpublic personal information to unaffiliated third parties. If you wish to opt out of disclosures to non-affiliated third parties, please contact us at (503) 756-2972.

Taurus Capital Advisors, LLC collects personal information about you from the following sources:

- Applications or other forms.
- Information about your transactions with us or others.

Taurus Capital Advisors, LLC uses your personal information in the following manner:

- We do not sell your personal information to anyone.
- We limit employee and agent access to information only to those who have a business or professional reason for knowing, and only to nonaffiliated parties as permitted by law. (For example, federal regulations permit us to share a limited amount of information about you with a brokerage firm in order to execute securities transactions on your behalf, or so that our firm can discuss your financial situation with your accountant or lawyer.)
- We will provide notice of changes in our information sharing practices. If, at any time in the future, it is necessary to disclose any of your personal information in a way that is inconsistent with this Policy, we will give you advance notice of the proposed change so you will have the opportunity to opt out of such disclosure.
- We have implemented technical, administrative, and physical security measures that are designed to protect your personal information from unauthorized access, disclosure, use, and modification. We regularly review our security procedures to consider appropriate new technology and methods. We have policies and procedures that address the risk of harm posed by security compromises and disposal of customer information. Please be aware that, despite our best efforts, no security measures are perfect or impenetrable. If there is a data breach, we will notify you as required under applicable laws and regulations. We retain your personal information for the length of time needed to fulfill the purposes outlined in this Policy unless a longer retention period is required or permitted by law.
- For unaffiliated third parties that require access to your personal information, including financial service companies, consultants, and auditors, we also require strict confidentiality in our agreements with them and expect them to keep this information private. Federal and state regulators also may review firm records as permitted under law.
- We do not provide your personally identifiable information to mailing list vendors or solicitors for any purpose.
- Personally identifiable information about you will be maintained during the time you are a client, and for



the required time thereafter that such records are required to be maintained by federal and state securities laws. After this required period of record retention, all such information will be destroyed.

Taurus Capital Advisors, LLC uses the following practices and policies to communicate with you through text message.

We use text messages through CellTrust's SL2 to communicate with individuals in relation to our business relationship in compliance with applicable laws and regulations ("Business Purposes").

- We use text messages as a form of communication only with individuals who have indicated to us that they consider text an acceptable form of communication.
- We use text messages only to communicate with you for Business Purposes.
- We will ensure that you will always have the ability to opt out of text message communication.
- Text messages with us are captured and recorded as required under applicable laws and regulations.
- Carrier message and data rates may apply.

Your consent means the following:

- When you elect to receive text messages, you are expressly authorizing us to contact you only at the phone number you used to consent to text communications, and you are agreeing that we may communicate with you by text message in accordance with this Policy for Business Purposes.
- Please understand that under the laws in certain jurisdictions, even though each text message we send to you is drafted and sent by a representative of Taurus Capital Advisors, LLC, the text communications may be considered automatic telephone dialing systems. Additionally, the content in the text messages we send may be considered informational or marketing in certain jurisdictions. By consenting to receive text messages from us – whether by requesting text message communications, sending us a text that requests a response, or completing a form that states you consent to text messages, you acknowledge and accept to receive communications for Business Purposes that may be considered informational or marketing text messages from us.
- Under some laws, "marketing" can mean any offer of goods or services. If a representative from our company sends you a text message about an investment product that might help you, it may be considered marketing. By consenting to receive text messages from us, you acknowledge and accept to receive these kinds of marketing messages.
- Even after you consent to receiving text messages, you may opt out of such communications at any time by sending SL2STOP to the phone number that you no longer want to send you text messages, or you can contact SL2help@celltrust.com to opt out or choose what types of text messages you do not want to have sent to you.
- Opting in to receive text messages from us is not a condition of your purchase or use of services with us; however, some communication capabilities with us may be impeded without your consent to receive text messages.
- Message and data rates may apply.

Taurus Capital Advisors, LLC uses the personal information collected from your text messages in the following manner:

- We only use your personal data to document your consent to receive text messages, to send those text messages to you, and to comply with any applicable laws and regulations.
- We do not sell any personal information obtained as part of our text messaging communications with you.



- We do not share your personal information with third-party suppliers, vendors, and contractors except in limited circumstances, including for a business purpose in which the service providers are performing services on our behalf.
- We do not permit our third-party suppliers, vendors, or contractors to sell or share any personal information obtained in our text message communications with you; these companies are prohibited from using your personal information for purposes other than those requested by us or required by law. For information on how your information is used by CellTrust as our text messaging vendor, please see CellTrust's privacy policy at <https://www.celltrust.com/privacy-statement>.
- We do not share your personal information with third parties/affiliates for marketing/promotional purposes.

Taurus Capital Advisors, LLC communication frequency varies in the following ways:

- The frequency of text messages and calls you receive will depend upon your relationship with us. Just as with other communication tools, some individuals may communicate with us more often than others and therefore require more communication from us in return.
- If you have questions about frequency or the specific types of text messages you will receive, please contact us. We are happy to work with you to ensure you only are receiving text communications that you want to receive.

You may opt out and stop receiving text messages from Taurus Capital Advisors, LLC by doing the following:

- You can opt out of receiving text message communications at any time by sending the opt-out keyword SL2STOP from the phone number where you want to stop receiving messages. If you have more than one phone number enrolled, you will need to follow this process with each phone number or contact us for assistance. Alternatively, you can contact SL2help@celltrust.com for assistance.
- If your phone number changes, you should let us know right away to avoid your messages being sent to your old number. Ideally, you should follow the opt out process described above before you change your phone number. You will also need to approve us know that it is ok to send you text messages to your new number.